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EXACT DISTANCE COLORING IN TREES

NICOLAS BOUSQUET, LOUIS ESPERET, ARARAT HARUTYUNYAN,
AND RÉMI DE JOANNIS DE VERCLOS

ABSTRACT. For an integer $q \geq 2$ and an even integer d , consider the graph obtained from a large complete q -ary tree by connecting with an edge any two vertices at distance exactly d in the tree. This graph has clique number $q + 1$, and the purpose of this short note is to prove that its chromatic number is $\Theta\left(\frac{d \log q}{\log d}\right)$. It was not known that the chromatic number of this graph grows with d . As a simple corollary of our result, we give a negative answer to a problem of Van den Heuvel and Naserasr, asking whether there is a constant C such that for any odd integer d , any planar graph can be colored with at most C colors such that any pair of vertices at distance exactly d have distinct colors. Finally, we study interval coloring of trees (where vertices at distance at least d and at most cd , for some real $c > 1$, must be assigned distinct colors), giving a sharp upper bound in the case of bounded degree trees.

1. INTRODUCTION

Given a metric space X and some real $d > 0$, let $\chi(X, d)$ be the minimum number of colors in a coloring of the elements of X such that any two elements at distance exactly d in X are assigned distinct colors. The classical Hadwiger-Nelson problem asks for the value of $\chi(\mathbb{R}^2, 1)$, where \mathbb{R}^2 is the Euclidean plane. It is known that $5 \leq \chi(\mathbb{R}^2, 1) \leq 7$ [1] and since the Euclidean plane \mathbb{R}^2 is invariant under homothety, $\chi(\mathbb{R}^2, 1) = \chi(\mathbb{R}^2, d)$ for any real $d > 0$. Let \mathbb{H}^2 denote the hyperbolic plane. Kloeckner [3] proved that $\chi(\mathbb{H}^2, d)$ is at most linear in d (the multiplicative constant was recently improved by Parlier and Petit [6]), and observed that $\chi(\mathbb{H}^2, d) \geq 4$ for any $d > 0$. He raised the question of determining whether $\chi(\mathbb{H}^2, d)$ grows with d or can be bounded independently of d . As noticed by Kahle (see [3]), it is not known whether $\chi(\mathbb{H}^2, d) \geq 5$ for some real $d > 0$. Parlier and Petit [6] recently suggested to study infinite regular trees as a discrete analog of the hyperbolic plane. Note that any graph G can be considered as a metric space (whose elements are the vertices of G and whose metric is the graph distance in G), and in this context $\chi(G, d)$ is precisely the minimum number of colors in a vertex coloring of G such that vertices at distance d apart are assigned different colors. Note that $\chi(G, d)$ can be equivalently defined as the chromatic number of the *exact d -th power* of G , that is, the graph with the same vertex-set as G in which two vertices are adjacent if and only if they are at distance exactly d in G .

Let T_q denote the infinite q -regular tree. Parlier and Petit [6] observed that when d is odd, $\chi(T_q, d) = 2$ and proved that when d is even, $q \leq \chi(T_q, d) \leq (d + 1)(q - 1)$. A

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similar upper bound can also be deduced from the results of Van den Heuvel, Kierstead, and Quiroz [2], while the lower bound is a direct consequence of the fact that when d is even, the clique number of the exact d -th power of T_q is q (note that it does not depend on d). In this short note, we prove that when $q \geq 3$ is fixed,

$$\frac{d \log(q-1)}{4 \log(d/2) + 4 \log(q-1)} \leq \chi(T_q, d) \leq (2 + o(1)) \frac{d \log(q-1)}{\log d},$$

where the asymptotic $o(1)$ is in terms of d . A simple consequence of our main result is that for any even integer d , the exact d -th power of a complete binary tree of depth d is of order $\Theta(d/\log d)$ (while its clique number is equal to 3).

The following problem (attributed to Van den Heuvel and Naserasr) was raised in [4] (see also [2] and [5]).

Problem 1.1 (Problem 11.1 in [4]). *Is there a constant C such that for every odd integer d and every planar graph G we have $\chi(G, d) \leq C$?*

We will show that our result on large complete binary trees easily implies a negative answer to Problem 1.1. More precisely, we will prove that the graph U_3^d obtained from a complete binary tree of depth d by adding an edge between any two vertices with the same parent gives a negative answer to Problem 1.1 (in particular, for odd d , the chromatic number of the exact d -th power of U_3^d grows as $\Theta(d/\log d)$). We will also prove that the exact d -th power of a specific subgraph Q_3^d of U_3^d grows as $\Omega(\log d)$. Note that U_3^d and Q_3^d are outerplanar (and thus, planar) and chordal (see Figure 2).

Kloeckner [3] proposed the following variant of the original problem: For a metric space X , an integer d and a real $c > 1$, we denote by $\chi(X, [d, cd])$ the smallest number of colors in a coloring of the elements of X such that any two elements of X at distance at least d and at most cd apart have distinct colors. Considering as above the natural metric space defined by the infinite q -regular tree T_q , Parlier and Petit [6] proved that

$$q(q-1)^{\lfloor cd/2 \rfloor - \lfloor d/2 \rfloor} \leq \chi(T_q, [d, cd]) \leq (q-1)^{\lfloor cd/2+1 \rfloor} (\lfloor cd \rfloor + 1).$$

We will show that $\chi(T_q, [d, cd]) \leq \frac{q}{q-2}(q-1)^{\lfloor cd/2 \rfloor - d/2+1} + cd + 1$, which implies that the lower bound of Parlier and Petit [6] (which directly follows from a clique size argument) is asymptotically sharp.

2. EXACT DISTANCE COLORING

Throughout the paper, we assume that the infinite q -regular tree T_q is rooted in some vertex r . This naturally defines the children and descendants of a vertex and the parent and ancestors of a vertex distinct from r . In particular, given a vertex u , we define the ancestors u^0, u^1, \dots of u inductively as follows: $u^0 = u$ and for any i such that u^i is not the root, u^{i+1} is the parent of u^i . With this notation, u^d can be equivalently defined as the ancestor of u at distance d from u (if such a vertex exists). For a given vertex u in T_q , the *depth* of u , denoted by $\text{depth}(u)$, is the distance between u and r in T_q . For a vertex v and an integer ℓ , we define $L(v, \ell)$ as the set of descendants of v at distance exactly ℓ from v in T_q .

We first prove an upper bound on $\chi(T_q, d)$.

Theorem 2.1. *For any integer $q \geq 3$, any even integer d , and any integer $k \geq 1$ such that $k(q-1)^{k-1} \leq d$, we have $\chi(T_q, d) \leq (q-1)^k + (q-1)^{\lfloor k/2 \rfloor} + \frac{d}{k} + 1$. In particular, $\chi(T_q, d) \leq d+q+1$, and when q is fixed and d tends to infinity, $\chi(T_q, d) \leq (2+o(1)) \frac{d \log(q-1)}{\log d}$.*

Proof. A vertex of T_q distinct from r and whose depth is a multiple of k is said to be a *special vertex*. Let v be a special vertex. Every special vertex u distinct from v such that $u^k = v^k$ is called a *cousin* of v . Note that v has at most $q(q-1)^{k-1} - 1$ cousins (at most $(q-1)^k - 1$ if $v^k \neq r$). A special vertex u is said to be a *relative* of v if u is either a cousin of v , or u has the property that u and v^k have the same depth and are at distance at most k apart in T_q . Two vertices a, b at distance at most k apart and at the same depth must satisfy $a^{\lfloor k/2 \rfloor} = b^{\lfloor k/2 \rfloor}$, and so the number of vertices u such that u and v^k have the same depth and are at distance at most k apart in T_q is $(q-1)^{\lfloor k/2 \rfloor}$. It follows that if $v^k = r$, then v has at most $q(q-1)^{k-1} - 1$ relatives and otherwise v has at most $(q-1)^k + (q-1)^{\lfloor k/2 \rfloor} - 1$ relatives.

The first step is to define a coloring C of the special vertices of T_q . This will be used later to define the desired coloring of T_q , i.e. a coloring such that vertices of T_q at distance d apart are assigned distinct colors (in this second coloring, the special vertices will not retain their color from C).

We greedily assign a color $C(v)$ to each special vertex v of T_q as follows: we consider the vertices of T_q in a breadth-first search starting at r , and for each special vertex v we encounter, we assign to v a color distinct from the colors already assigned to its relatives, and from the set of ancestors v^{ik} of v , where $2 \leq i \leq \frac{d}{k} + 1$ (there are at most $\frac{d}{k}$ such vertices). Note that if $v^k = r$, the number of colors forbidden for v is at most $q(q-1)^{k-1} - 1$ and if $v^k \neq r$ the number of colors forbidden for v is at most $(q-1)^k + (q-1)^{\lfloor k/2 \rfloor} + \frac{d}{k} - 1$. Since $k(q-1)^{k-1} \leq d$, in both cases v has at most $(q-1)^k + (q-1)^{\lfloor k/2 \rfloor} + \frac{d}{k} - 1$ forbidden colors, therefore we can obtain the coloring C by using at most $(q-1)^k + (q-1)^{\lfloor k/2 \rfloor} + \frac{d}{k}$ colors.

For any special vertex v , the set of descendants of v at distance at least $d/2 - k$ and at most $d/2 - 1$ from v is denoted by $K(v, k)$. We now define the desired coloring of T_q as follows: for each special vertex v , all the vertices of $K(v, k)$ are assigned the color $C(v)$. Finally, all the vertices at distance at most $d/2 - 1$ from r are colored with a single new color (note that any two vertices in this set lie at distance less than d apart). The resulting vertex-coloring of T_q is called c . Note that c uses at most $(q-1)^k + (q-1)^{\lfloor k/2 \rfloor} + \frac{d}{k} + 1$ colors, and indeed every vertex of T_q gets exactly one color.

We now prove that vertices at distance d apart in T_q are assigned distinct colors in c . Assume for the sake of contradiction that two vertices x and y at distance d apart were assigned the same color. Then the depth of both x and y is at least $d/2$. We can assume by symmetry that the difference t between the depth of x and the depth of y is such that $0 \leq t \leq d$ since otherwise they would be at distance more than d . Let u be the unique (special) vertex of T_q such that $x \in K(u, k)$ and v be the unique (special) vertex such that $y \in K(v, k)$. By the definition of our coloring c , we have $C(u) = C(v)$. Note that u and v are distinct; indeed, otherwise x and y would not be at distance d in T_q . Assume first that

u and v have the same depth. Then since u and x (resp. v and y) are distance at least $d/2 - k$ apart, u and v are cousins (and thus, relatives), which contradicts the definition of the vertex-coloring C . We may, therefore, assume that the depths of u and v are distinct. Moreover, since u and v are special vertices, we may assume that their depths differ by at least k . In particular, u lies deeper than v in T_q .

First assume that the depths of u and v differ by at least $2k$. Then v is not an ancestor of u in T_q . Indeed, for otherwise we would have $v = u^k$ for some integer $2 \leq i \leq \frac{d}{k} + 1$, which would contradict the definition of C . This implies that the distance between x and y is at least $d/2 - k + d/2 - k + 2k + 2 = d + 2$, which is a contradiction. Therefore, we can assume that the depths of u and v differ by precisely k . Since v is not a relative of u , we have that $v \neq u^k$ and the distance between u^k and v is more than k . Moreover, since u and x (resp. v and y) are at distance at least $d/2 - k$ apart, this implies that the distance between x and y is more than $d/2 - k + k + k + d/2 - k = d$, a contradiction.

Thus, c is a proper coloring.

By taking $k = 1$ we obtain a coloring c using at most $(q-1)^1 + (q-1)^{\lfloor 1/2 \rfloor} + \frac{d}{1} + 1 = q + d + 1$ colors, and by taking $k = \lfloor \frac{\log d - \log \log d + \log \log(q-1)}{\log(q-1)} \rfloor$, we obtain a coloring c using at most

$$\frac{d \log(q-1)}{\log d} + \sqrt{\frac{d \log(q-1)}{\log d}} + \frac{d \log(q-1)}{\log d - \log \log d + \log \log(q-1) - \log(q-1)} + 1 = (2 + o(1)) \frac{d \log(q-1)}{\log d}$$

colors. \square

For $k = 1$, the proof above can be optimized to show that $\chi(T_q, d) \leq q + \frac{d}{2}$ (by simply noting that vertices at even depth and vertices at odd depth can be colored independently). Since we are mostly interested in the asymptotic behaviour of $\chi(T_q, d)$ (which is of order $O(\frac{d}{\log d})$), we omit the details.

We now prove a simple lower bound on $\chi(T_q, d)$. Let T_q^d be the rooted complete $(q-1)$ -ary tree of depth d , with root r . Note that each node has $q-1$ children, so this graph is a subtree of T_q .

Theorem 2.2. *For any integer $q \geq 3$ and any even d , $\chi(T_q^d, d) \geq \log_2(\frac{d}{4} + q - 1)$.*

Proof. Consider any coloring of T_q^d with colors $1, 2, \dots, C$, such that vertices at distance precisely d apart have distinct colors. For any vertex v at depth at most $\frac{d}{2} + 1$ in T_q^d , the set of colors appearing in $L(v, \frac{d}{2} - 1)$ is denoted by S_v . Observe that if v and w have the same parent, then S_v and S_w are disjoint since for any $x \in L(v, \frac{d}{2} - 1)$ and $y \in L(w, \frac{d}{2} - 1)$, x and y are at distance d .

Fix some vertex u at depth at most $\frac{d}{2}$ in T_q^d and some child v of u . We claim that:

Claim 2.3. *For any integer $1 \leq k \leq \frac{\text{depth}(u)}{2}$, there is a color of $S_{u^{2k-1}}$ that does not appear in S_v .*

To see that Claim 2.3 holds, observe that in the subtree of T_q^d rooted in u^k , there is a vertex of $L(u^{2k-1}, \frac{d}{2} - 1)$ at distance d from all the elements of $L(v, \frac{d}{2} - 1)$. The color of such a vertex does not appear in S_v , therefore Claim 2.3 holds.

In particular, Claim 2.3 implies that all the sets $\{S_{u^{2k-1}} \mid 1 \leq k \leq d/4\}$ and $\{S_w \mid w \text{ is a child of } u\}$ are pairwise distinct. Since there are $\frac{d}{4} + q - 1$ such sets, we have $\frac{d}{4} + q - 1 \leq 2^C$ and therefore $C \geq \log_2(\frac{d}{4} + q - 1)$, as desired. \square

It was observed by Stéphan Thomassé that the proof of Theorem 2.2 only uses a small fraction of the graph T_q^d . Consider for simplicity the case $q = 3$, and define P_3^d as the graph obtained from a path $P = v_0, v_1, \dots, v_d$ on d edges, by adding, for each $1 \leq i \leq d$, a path on i edges ending at v_i (see Figure 1). This graph is an induced subgraph of T_q^d and the proof of Theorem 2.2 directly shows the following¹.

Corollary 2.4. *For any even integer d , $\chi(P_3^d, d) \geq \log_2(d + 8) - 2$.*

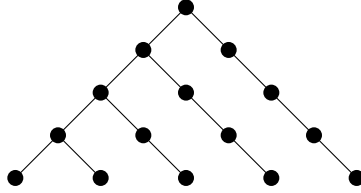


FIGURE 1. The graph P_3^4 .

The proof of Theorem 2.2 can be refined to prove the following better estimate for T_q^d , showing that the upper bound of Theorem 2.1 is (asymptotically) tight within a constant multiplicative factor of 8.

Theorem 2.5. *For any integer $q \geq 3$ and every even integer $d \geq 2$, $\chi(T_q^d, d) \geq \frac{d \log(q-1)}{4 \log(d/2) + 4 \log(q-1)}$.*

Proof. Consider any coloring of T_q^d with colors $1, 2, \dots, C$, such that vertices at distance precisely d apart have distinct colors. We perform a random walk v_0, v_1, \dots, v_d in T_q^d as follows: we start with $v_0 = r$, and for each $i \geq 1$, we choose a child of v_i uniformly at random and set it as v_{i+1} . Note that the depth of each vertex v_i is precisely i .

From now on we fix a color $c \in \{1, \dots, C\}$. For any vertex v of T_q^d , the set of vertices contained in the subtree of T_q^d rooted in v is denoted by V_v , and we set $A_v = \{\text{depth}(u) \mid u \in V_v \text{ and } u \text{ has color } c\}$. When $v = v_i$, for some integer $0 \leq i \leq d$, we write A_i instead of A_{v_i} .

Claim 2.6. *Assume that for some even integers i and j with $2 \leq i < j \leq d$, and for some vertex v at depth $\frac{i+j-d}{2}$, the set A_v contains both i and j . Then v has precisely one child u such that A_u contains i and j , and moreover all the children w of v distinct from u are such that A_w contains neither i nor j .*

¹Stéphan Thomassé noticed that this can also be deduced from the fact that the vertices at depth at least $\frac{d}{2}$ and at most d in the exact d -th power of P_3^d induce a *shift graph*.

To see that Claim 2.6 holds, simply note that $\frac{i+j-d}{2} < i < j$ and if two vertices u_1, u_2 colored c are respectively at depths i and j , and their common ancestor is v , then they are at distance d in T_q^d (which contradicts the fact that they were assigned the same color). Indeed, the distance of u_1 to v is $i - \frac{i+j-d}{2}$ and the distance of u_2 to v is $j - \frac{i+j-d}{2}$. This proves the claim.

We now define a family of graphs $(G_k)_{0 \leq k \leq d/2}$ as follows. For any $0 \leq k \leq \frac{d}{2}$, the vertex-set $V(G_k)$ of G_k is the set $A_k \cap 2\mathbb{N} \cap (d/2, d]$, and two (distinct) even integers $i, j \in A_k$ are adjacent in G_k if and only if $\frac{i+j-d}{2} < k$. For each $0 \leq k \leq \frac{d}{2}$ we define the *energy* \mathcal{E}_k of G_k as follows: $\mathcal{E}_k = \sum_{i \in V(G_k)} (q-1)^{\deg(i)}$, where $\deg(i)$ denotes the degree of the vertex i in G_k .

Note that each graph G_k depends on the (random) choice of v_1, v_2, \dots, v_k .

Claim 2.7. *For any $0 \leq k \leq \frac{d}{2} - 1$, $\mathbb{E}(\mathcal{E}_{k+1}) \leq \mathbb{E}(\mathcal{E}_k)$.*

Assume that v_1, v_2, \dots, v_k (and therefore also G_k) are fixed. Observe that G_{k+1} is obtained from G_k by possibly removing some vertices and adding some edges. Thus, \mathcal{E}_{k+1} can be larger than \mathcal{E}_k only if G_{k+1} contains edges that are not in G_k . Therefore, it suffices to consider the contributions of those pairs of nonadjacent vertices in G_k which could become adjacent in G_{k+1} (since these correspond to pairs i, j with $k = \frac{i+j-d}{2}$, these pairs are pairwise disjoint), and prove that these contributions are, in expectation, equal to 0. Fix a pair of even integers $i < j$ in $V(G_k)$ with $k = \frac{i+j-d}{2}$ (and note that i and j are not adjacent in G_k). By Claim 2.6, either v_{k+1} is such that A_{k+1} contains i and j (this event occurs with probability $\frac{1}{q-1}$), or A_{k+1} contains neither i nor j (with probability $1 - \frac{1}{q-1}$). As a consequence, for any $i < j$ in $V(G_k)$ with $k = \frac{i+j-d}{2}$, with probability $\frac{1}{q-1}$ we add the edge ij in G_{k+1} and with probability $1 - \frac{1}{q-1}$ we remove vertices i and j from G_{k+1} . This implies that for any $i, j \in V(G_k)$, $i < j$, with $k = \frac{i+j-d}{2}$, with probability $\frac{1}{q-1}$ we have contribution at most $(q-1)^{\deg(i)+1} + (q-1)^{\deg(j)+1} - (q-1)^{\deg(i)} - (q-1)^{\deg(j)} = (q-2)((q-1)^{\deg(i)} + (q-1)^{\deg(j)})$ to \mathcal{E}_{k+1} (where \deg refers to the degree in G_k) and with probability $1 - \frac{1}{q-1}$ we have a contribution of at most $-(q-1)^{\deg(i)} - (q-1)^{\deg(j)}$ to \mathcal{E}_{k+1} . Thus, the expected contribution of such a pair i, j is at most $\frac{1}{q-1}(q-2)((q-1)^{\deg(i)} + (q-1)^{\deg(j)}) - \frac{q-2}{q-1}((q-1)^{\deg(i)} + (q-1)^{\deg(j)}) = 0$.

Summing over all such pairs i, j , we obtain $\mathbb{E}(\mathcal{E}_{k+1}) \leq \mathbb{E}(\mathcal{E}_k)$. This proves Claim 2.7.

Since $2 \leq i < j \leq d$, we have $\frac{i+j-d}{2} \leq \frac{d}{2} - 1$, and in particular it follows that $G_{d/2}$ is a (possibly empty) complete graph, whose number of vertices is denoted by $\omega \geq 0$. Note that the energy \mathcal{E} of a complete graph on ω vertices is equal to $\omega(q-1)^{\omega-1}$, while the energy \mathcal{E}_0 of G_0 is equal to $|A_0 \cap 2\mathbb{N} \cap (d/2, d]| \leq \frac{d}{4}$. For a vertex $u \in L(r, \frac{d}{2})$, let $\omega_u = |A_u \cap 2\mathbb{N} \cap (d/2, d]|$ (this is the number of distinct even depths at which a vertex colored c appears in the subtree of height $\frac{d}{2}$ rooted in u). It follows from Claim 2.7 that the average of $\omega_u(q-1)^{\omega_u-1}$, over all vertices $u \in L(r, \frac{d}{2})$, is at most $\frac{d}{4}$. Let a be the average of ω_u , over all vertices $u \in L(r, \frac{d}{2})$. By Jensen's inequality and the convexity of the function $x \mapsto x(q-1)^{x-1}$ for $x \geq 0$, we have that $a(q-1)^{a-1} \leq \frac{d}{4}$, and thus $a \leq \frac{\log(d/2)}{\log(q-1)} + 1$.

Note that a depends on the color c under consideration (to make this more explicit, let us now write a_c instead of a). Since there are $\frac{d}{4}$ even depths between depth $\frac{d}{2}$ and depth d , there is a color $c \in \{1, \dots, C\}$ such that $a_c \cdot C \geq \frac{d}{4}$ and thus, $C \geq \frac{d}{4a_c} \geq \frac{d \log(q-1)}{4 \log(d/2) + 4 \log(q-1)}$, as desired. \square

We now explain how the results proved above give a negative answer to Problem 1.1. Let U_3^d (resp. Q_3^d) be obtained from T_3^d (resp. P_3^d) by adding an edge uv for any pair of vertices u, v having the same parent. Note that for any d , U_3^d and Q_3^d are outerplanar (and thus, planar) and chordal, and Q_3^d has pathwidth 2 (U_3^3 and Q_3^5 are depicted in Figure 2) and the original copies of T_3^d and P_3^d are spanning trees of U_3^d and Q_3^d , respectively. In the remainder of this section, whenever we write T_3^d , we mean *the original copy of T_3^d in U_3^d* .

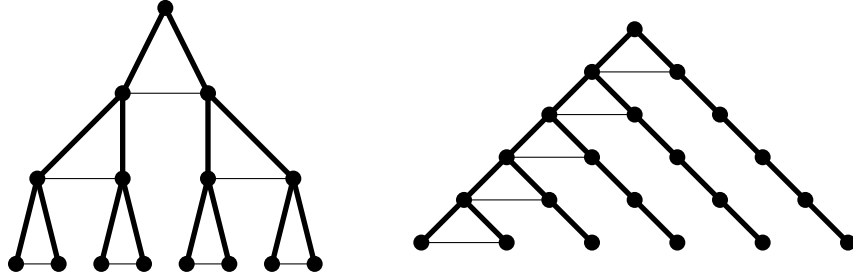


FIGURE 2. The graphs U_3^3 (left) and Q_3^5 (right). The bold edges represent the original copies of T_3^3 and P_3^5 , respectively.

Observe that for any two vertices u and v distinct from the root of T_3^d , u and v are at distance d in T_3^d if and only if they are at distance $d - 1$ in U_3^d (since the depth of T_3^d is d , the fact that u and v differ from the root and are at distance d apart implies that none of the two vertices is an ancestor of the other). The same property holds for Q_3^d and P_3^d . As a consequence, for any odd integer d , $\chi(U_3^{d+1}, d)$ and $\chi(T_3^{d+1}, d + 1)$ differ by at most one, and $\chi(Q_3^{d+1}, d)$ and $\chi(P_3^{d+1}, d + 1)$ also differ by at most one. Using this observation, we immediately obtain the following corollary of Theorem 2.5 and Corollary 2.4, which gives a negative answer to Problem 1.1.

Corollary 2.8. *For any odd integer d ,*

$$\chi(U_3^{d+1}, d) \geq \frac{(d+1) \log(2)}{4 \log((d+1)/2) + 4 \log(2)} - 1 \text{ and } \chi(Q_3^{d+1}, d) \geq \log_2(d + 8) - 3.$$

The graphs U_3^{d+1} and its exact d -th power have $n = 2^{d+2}$ vertices, and thus the chromatic number of the exact d -th power of U_3^{d+1} grows as $\Omega\left(\frac{\log n}{\log \log n}\right)$. The graphs Q_3^{d+1} and its exact d -th power have $n = \binom{d+2}{2}$ vertices, and thus the chromatic number of the exact d -th power of Q_3^{d+1} grows as $\Omega(\log n)$. It is not difficult (using Theorem 2.1 for U_3^{d+1}) to show that these bounds are asymptotically tight.

It was recently proved by Quiroz [8] that if G is a chordal graph of clique number at most $t \geq 2$, and d is an odd number, then $\chi(G, d) \leq \binom{t}{2}(d + 1)$. By Corollary 2.8, the

graph U_3^d shows that this is asymptotically best possible (as d tends to infinity), up to a $\log d$ factor.

3. INTERVAL COLORING

For an integer d and a real $c > 1$, recall that $\chi(T_q, [d, cd])$ denotes the smallest number of colors in a coloring of the vertices of T_q such that any two vertices of T_q at distance at least d and at most cd apart have distinct colors. Parlier and Petit [6] proved that

$$q(q-1)^{\lfloor cd/2 \rfloor - \lfloor d/2 \rfloor} \leq \chi(T_q, [d, cd]) \leq (q-1)^{\lfloor cd/2+1 \rfloor} (\lfloor cd \rfloor + 1).$$

In this final section, we prove that their lower bound (which is proved by finding a set of vertices of this cardinality that are pairwise at distance at least d and at most cd apart in T_q) is asymptotically tight.

Theorem 3.1. *For any integers $q \geq 3$ and d and any real $c > 1$, $\chi(T_q, [d, cd]) \leq \frac{q}{q-2}(q-1)^{\lfloor cd/2 \rfloor - d/2+1} + cd + 1$.*

Proof. The proof is similar to the proof of Theorem 2.1. We consider any ordering e_1, e_2, \dots of the edges of T_q obtained from a breadth-first search starting at r . Then, for any $i = 1, 2, \dots$ in order, we assign a color $c(e_i)$ to the edge e_i as follows. Let $e_i = uv$, with u being the parent of v , and let $\ell = \lfloor cd/2 \rfloor - d/2$. We assign to uv a color $c(uv)$ distinct from the colors of all the edges xy (with x being the parent of y) such that x is at distance at most ℓ from u^k (where k is the minimum of ℓ and the depth of u), or x is an ancestor of u at distance at most cd from u (and y lies on the path from u to x). There are at most $cd + \sum_{j=0}^{\ell} q(q-1)^j \leq \frac{q}{q-2}(q-1)^{\ell+1} + d - 1$ such edges, so we can color all the edges following this procedure by using a total of at most $\frac{q}{q-2}(q-1)^{\ell+1} + cd$ colors.

As in the proof of Theorem 2.1, we now define our coloring of the vertices of T_q as follows: first color all the vertices at distance at most $\frac{d}{2} - 1$ from r with a new color that does not appear on any edge of T_q , then for each vertex v with parent u , we color all the vertices of $L(v, \frac{d}{2} - 1)$ with color $c(uv)$. In this vertex-coloring, at most $\frac{q}{q-2}(q-1)^{\ell+1} + cd + 1$ colors are used.

Assume that two vertices s and t , at distance at least d and at most cd apart, were assigned the same color. This implies that $c(s^{d/2-1}s^{d/2}) = c(t^{d/2-1}t^{d/2})$. Assume without loss of generality that the depth of s is at least the depth of t , and consider first the case where $t^{d/2-1}$ is an ancestor of s . Then $t^{d/2}$ is an ancestor of $s^{d/2}$ at distance at most cd from $s^{d/2}$ (and $t^{d/2-1}$ lies on the path from $s^{d/2}$ to $t^{d/2}$), which contradicts the definition of our edge-coloring c . Thus, we can assume that $t^{d/2-1}$ is not an ancestor of s . This implies that $t^{d/2-1}t^{d/2}$ lies on the path between s and t , and therefore $t^{d/2}$ is at distance at most $\ell = \lfloor cd/2 \rfloor - d/2$ from the ancestor of $s^{d/2}$ at distance ℓ from $s^{d/2}$ (or simply from r , if the depth of $s^{d/2}$ is at most ℓ). Again, this contradicts the definition of our coloring c . We obtained a coloring of the vertices of T_q with at most $\frac{q}{q-2}(q-1)^{\ell+1} + cd + 1$ colors in which each pair of vertices at distance at least d and at most cd apart have distinct colors, as desired. \square

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UNIV. GRENOBLE ALPES, CNRS, G-SCOP, GRENOBLE, FRANCE
E-mail address: nicolas.bousquet@grenoble-inp.fr

UNIV. GRENOBLE ALPES, CNRS, G-SCOP, GRENOBLE, FRANCE
E-mail address: louis.esperet@grenoble-inp.fr

LAMSADE, UNIVERSITY OF PARIS-DAUPHINE, PARIS, FRANCE
E-mail address: ararat.harutyunyan@dauphine.fr

RADBOUD UNIVERSITY NIJMEGEN, NETHERLANDS
E-mail address: r.deverclos@math.ru.nl